

A) 證券交易服務(香港股票市場) Trade-related Services(Hong Kong Stock Market)

經紀佣金 Brokerage Fee	人工單：成交金額之0.25% (最低收費港幣50元) # Manual trade : 0.25% on transaction amount (min. HK\$50) 電子單：成交金額之0.25% (最低收費港幣50元) # Internet trade : 0.25% on transaction amount (min. HK\$50)
印花稅 Stamp Duty	成交金額之0.13% (不足港幣1元亦作1元計) 0.13% on transaction amount (rounding up to nearest dollar)
證監會交易徵費 SFC Transaction Levy	成交金額之0.0027% 0.0027% on transaction amount
財匯局交易徵費 FRC Transaction Levy	成交金額之0.00015% 0.00015% on transaction amount
聯交所交易費 Stock Exchange Trading Fee	成交金額之0.005% 0.005% on transaction amount
中央結算費 CCASS Fee	成交金額之0.002% (最低收費港幣3元) 0.002% on transaction amount (min. HK\$3)
場外股份交易 Over the Counter (OTC) Transaction	須另議 Subject to negotiation

B) 證券交易服務(美國股票市場) Trade-related Services(US Stock Market)

經紀佣金 Brokerage Fee	人工單：成交金額之0.15% (最低收費美金30元) Manual trade : 0.15% on transaction amount (min. USD\$30) 電子單：成交金額之0.15% (最低收費美金30元) Internet trade : 0.15% on transaction amount (min. USD\$30)
美國證監會收費 (沽盤交易收取) SEC fee (For SELL trade only)	百分之0.00207% (最低 0.01美元) 0.00207% (Min: USD0.01)
非美國 DTC 結算費 non DTC eligible Stock clearing fees	按不同股票種類計算，最多按每宗交易收取75美元 Calculation is based on the different types of OTC stocks; Maximum amount is USD75 per trade.
交易指示費 (SI) Settlement Instruction Fee	收貨 (SI Receipt): 免費 Free 交貨 (SI Delivery): 每柱交易100美元 (USD100 per transaction)
代收股息費 Dividend Collection Fee	現金股息：百分之2 (最低6美元, 最高70美元) Cash dividend: 2% (Min: USD6, Max: USD70)
股息稅 Dividend Tax	百分之0-30 0-30%
預托證券費 ADRs Fee	於截止過戶日收取每股0.01-0.05美元 (代發行商收取) USD 0.01 - 0.05 (Per share per book close collected by the ADR issuers)
行政費用 Administrative Fee	每月100港元 HK\$100 Monthly

C) 處理實物股票及交收服務 Scrip Handling and Settlement-related Services

經中央結算交收指示 - 轉倉撥出 Settlement Instruction via CCASS - Delivery	以上日收市價計數總值之0.05% (每隻股票最低收費港幣50元; 最高收費港幣500元) 0.05% of each stock value of previous closing price (Per stock min. HK\$50; max. HK\$500)
經中央結算交收指示 - 轉倉存入 Settlement Instruction via CCASS - Receipt	免費 Free of charge
實物股票提取 Physical Scrip Withdrawal	每手港幣5元 (最低收費港幣50元) HK\$5 per board lot of shares (min. HK\$50)
實物股票存入 Physical Scrip Deposit	每張轉手紙港幣5元 HK\$5 per each transfer deed

買貨及賣貨單交收處理費 Bought and Sold Note Settlement Handling Fee	每張買貨及賣貨單港幣50元 * HK\$50 per each bought note and sold note *
強制性補購股份失責罰金 Default Fee for Compulsory Share Buy-back	追討成本，另加每次每隻股票手續費港幣500元 Cost of claim plus handling fee of HK\$500 per stock
D) 新股認購服務 Initial Public Offer Shares Services	
申請費 Subscription Fee	每宗申請港幣100元 HK\$100 per application
經紀佣金 Brokerage Fee	認購金額之1.0% 1% of the application money
證監會交易徵費 SFC Transaction Levy	認購金額之0.0027% 0.0027% on transaction amount
財匯局交易徵費 FRC Transaction Levy	成交金額之0.00015% 0.00015% on transaction amount
交易所交易費 The Exchange Trading Fee	認購金額之0.005% 0.005% on transaction amount
E) 代理人服務及企業行動 Nominee Services and Corporate Actions	
登記過戶費 Scrip Fee (Share Registration)	每手港幣2元 HK\$2 per board lot
代收現金股息費 Collection Fee of Cash Dividend	所收現金股息之0.50% (最低收費港幣50元; 最高收費港幣50,000元) 0.50% on dividend amount (min. HK\$50; max. HK\$50,000)
代收紅股/供股權費 Collection Fee of Bonus/Rights Issue	免費 Free of charge
代行使供股權/認證股證費 Rights Subscription/Exercise Warrants Fee	每手港幣2元(最低收費港幣50元; 最高收費港幣50,000元) HK\$2 per board lot (min. HK\$50; max. HK\$50,000)
代申請額外供股費 Fee for Excess Rights Subscription	成功申請後，每手港幣2元 (最低收費港幣50元; 最高收費港幣50,000元) HK\$2 per board lot of successful subscription of excess shares (min. HK\$50; max. HK\$50,000)
股份配售 Placement of New Shares	須另議 Subject to negotiation
在收購要約下提交股份/股份私有化/自動行使 備兌認股證費 Handling Fee on Tendering share under a Takeover Bid/Privatization/ Auto-Exercise of Covered Warrants	按收取金額總值之0.25%(最低港幣100元) 0.25% of the gross cash offer amount (min: HK\$100)
公司行動(股份分拆/合併/轉換) Corporation Action (Share Split/ Consolidation/Conversion)	免費 Free of charge
私人名義之過戶登記 Register of Stock to Client's Name	每一張港幣8元 (最低收費港幣200元) HK\$8 per certificate (min. HK\$200)
申請發還利息/紅股/股權費 Fee for Claims of Entitlement	追討成本，另加每次每隻股票手續費港幣600元 Cost of claim plus handling fee of HK\$600 per stock
公司投票指示費 Corporate Voting Instruction Fee	免費 Free of charge
F) 銀行及借貸服務 Banking and Loan-related Services	
現金賬戶滯納金罰息 Cash Account Late Interest	最優惠利率** + 8% P Rate** + 8%

孖展賬戶借款 Margin Account Charges	最優惠利率** + 3% P Rate** + 3%
孖展賬戶超出按值 Margin Account Over Loan Limit Charges	最優惠利率** + 5% P Rate** + 5%
支票掛失 Lost Cheque	每張重發支票港幣200元 Re-issue cheque HK\$200 per cheque
支票不能兌現 Dishonoured Cheque	手續費港幣200元 Handling fee HK\$200
G) 其他服務 Other services	
重印日結單/月結單 Re-print Daily/Monthly Statement	每份港幣100元 HK\$100 per statement
資產證明信函 Letter of Confirmation on Assets	每封港幣200元 HK\$200 per letter

以上收費只供參考，費用如有任何變動，將不會另行通知

All of the above charges are for reference only and subject to change without prior notice.

附註：
特選客戶適用特別佣金除外。如有任何爭議團結證券有限公司（“團結證券”）保留最終決定權。Except Selected Clients who apply for special commission rate. In case of disputes, the decision of United Securities Limited（“USL”）shall be final and binding.

* 買貨及賣貨單交收處理費：每張買貨及每張賣貨單分別為港幣 50 元（不包括印花稅、調撥股票費及其他費用）Bought and Sold Note Settlement Handling Fee: HK\$50 per each bought note and per each sold note respectively. (Excluding Stamp Duty, SI Fee and other fee)

** 最優惠利率是香港的貸款利率其中一個基準。團結證券會參考香港各大銀行的最優惠利率而釐定團結證券的最優惠利率水平。請注意，團結證券有絕對權力對最優惠利率水平作出更改，客戶必須清楚明白及同意有關風險。如有任何查詢，請致電客服熱線(852) 3559-2220。 Note: P Rate stands for Prime Rate which is one of the benchmark rates for lending in Hong Kong. USL will determine the level of P Rate of USL by reference to the Prime Rates of major banks in Hong Kong. Please note that USL has the absolute power to make changes to the P Rate. Customers must clearly understand and agree to such risks. For any enquiries, please call the customer service hotline at (852) 3559-2220.