

To: United Securities Limited,  
Suites 903-905, 9/F, Shui On Centre, 6-8 Harbour Road, Wan Chai, Hong Kong  
致: 團結證券有限公司  
香港灣仔港灣道6至8號瑞安中心九樓903-905室

Date:

Confirmation of Customer Due Diligence 客戶盡職審查確認書

This confirmation was provided by the undersigned as at the date hereof for the purpose of assisting United Securities Limited (“United Securities”) to fulfill “United Securities’ Client Due Diligence” duty pursuant to the relevant provisions in the Guideline on Anti-Money Laundering and Counter-Terrorist Financing published by Securities and Futures Commission of Hong Kong (the “SFC”). 此確認書是根據由證券及期貨事務監察委員會(“證監會”)所發出的指引關於《防止洗黑錢及恐怖分子籌集活動的指引》中規定,從而制定此確認書以協助團結證券有限公司(“團結證券”)完成對團結證券客戶之盡職審查。

The undersigned hereby confirmed that:

下列簽署人特此確認:

- (a) I/We are not linked to politically exposed person/terrorist;  
本人(等)/我司並沒有連結到政治上的恐怖組織分子;
- (b) The nature of my/our business are not susceptible to money laundering risk;  
本人(等)/我司的業務性質是不易受到洗黑錢的風險所影響;
- (c) The fund from my/our account are not arising from or related to proceeds of crime;  
and 一切有關本人(等)/我司帳戶內的金錢及有關收益,並不是從犯罪所獲取;  
和

I/We agree to provide further information if and when required by the SFC or under any laws, regulations, code of conduct, rules or guidelines published by the SFC from time to time. 本人(等)/我司同意提供進一步資料,以配合由香港證監會所發出的法規、行為守則、條例或規則或任何法律要求下進行有關審查。

Client’s Signature 客戶簽署: \_\_\_\_\_

Account Name 客戶姓名: \_\_\_\_\_

Account Number 帳戶號碼: \_\_\_\_\_