



**團結證券有限公司**  
*UNITED SECURITIES LIMITED*

為香港聯合交易所有限公司之參與者及  
已獲證券及期貨事務監察委員會發出持牌法團的牌照  
As an Exchange Participant of the Stock Exchange of Hong Kong Limited  
and a Licensed Corporation licensed by the Securities and Future Commission

交易所參與者編號 Exchange Participant Number (EP No.): B02113  
中央編號 Central Entity Number (CE No.): AFP229

開戶表格 (現金 / 保證金帳戶 - 公司)  
**ACCOUNT OPENING FORM**  
**(CASH / MARGIN ACCOUNT - CORPORATE)**

帳戶號碼 Account Number : \_\_\_\_\_

帳戶名稱 Account Name : \_\_\_\_\_

香港灣仔港灣道 6 至 8 號瑞安中心九樓 903-905 室  
Suites 903-905, 9/F, Shui On Centre, 6-8 Harbour Road, Wan Chai, Hong Kong

United Securities Limited 團結證券有限公司

Suites 903-905, 9/F, Shui On Centre, 6-8 Harbour Road, Wan Chai, Hong Kong  
香港灣仔港灣道 6 至 8 號瑞安中心九樓 903-905 室  
Tel 電話: (852) 3559-2220 Fax 傳真: (852) 2290 9296

Exchange Participant Number (EP No.) 交易所參與者編號: B02113  
Central Entity Number (CE No.) 中央編號: AFP229

Account Opening Form – Corporate Account

開戶表格 – 公司帳戶

Account Type:  Cash Account  Margin Account

帳戶類別: 現金買賣帳戶 保證金買賣帳戶

**Note: A Cash Account not involving securities borrowing and lending is available from United Securities Limited. If you do not require margin facilities or do not wish your securities or securities collateral to be lent or pledged, please (1) DO NOT open a Margin Account and sign the standing authority under Securities and Futures (Client Securities) Rules; and (2) DO open a Cash Account.**

**請注意: 團結證券有限公司提供不涉及證券借貸的現金買賣帳戶。假如你毋需使用保證金貸款, 或不希望本身證券或證券抵押品被借出或遭抵押, 請 (1) 切勿開立保證金買賣帳戶及切勿簽署根據《證券及期貨(客戶證券)規則》所訂立的常設授權; (2) 應要求開立現金買賣帳戶。**

For official use only 只供本行使用

Account No. 帳戶號碼:

Date Opened 開戶日期:

Electronic Trading 電子交易:  Yes 是  No 否

Please attach 請附上:

- Certified true copy of the Hong Kong ID Card(s) or Passport(s) of all Authorized Persons, Directors, Shareholders and Beneficial Owners of the Corporate Client. 所有獲公司客戶授權代理人、董事、股東及本帳戶實質權益的人士之香港身份證或護照的核證副本。
- Certified true copy of the address proof of all Authorized Persons, Directors, Shareholders and Beneficial Owners of the Corporate Client. 所有獲公司客戶授權代理人、董事、股東及本帳戶實質權益的人士之住址證明的核證副本。
- Certified true copy of the Certificate of Incorporation of the Corporate Client (or other evidence of due incorporation for overseas company). 公司客戶的註冊證書的核證副本 (或其它顯示妥當註冊的任何證據如屬海外公司)。
- Certified true copy of the Business Registration Certificate of the Corporate Client (or other evidence of due incorporation). 公司客戶的商業登記證書的核證副本 (或其它顯示妥當註冊的任何證據)。
- Certified true copy of Memorandum and Articles of Association of the Corporate Client (or other constitutional documents). 公司客戶的組織章程大綱及章程細則的核證副本 (或其他憲章性文件)。
- Certified true copy of the latest audited financial statements of the Corporate Client. 公司客戶最近之核實財務報表的核證副本。
- Certified true copy of the latest Annual Return of the Corporate Client (or Register of Directors and Members for Overseas Company). 公司客戶最近之週年報表的核證副本 (或董事及股東名冊核證副本)。
- Board Resolution of the Corporate Client approving the opening of the account. 批准開立戶口的公司董事會議記錄。

Please complete in BLOCK LETTERS 請用正楷填寫:

Corporate Account Information 公司帳戶資料	
Company Name 公司名稱 (English 英文)  (Chinese 中文)	
Account Name (if different) 帳戶名稱 (如與上述不同)	
Country of Incorporation 註冊成立國家 Date of Incorporation 註冊日期	Nature of Business 業務性質
Company Registry No. 公司註冊號碼	HK Business Registration No. 香港商業登記號碼
Nature of Entity 公司性質 <input type="checkbox"/> Private/Public Limited Company 私人/公共公司 <input type="checkbox"/> Listed Company 上市公司 <input type="checkbox"/> Broker/Fund House 經紀/基金公司 <input type="checkbox"/> Partnership 合伙經營 <input type="checkbox"/> Sole Proprietor 獨資經營 <input type="checkbox"/> Others 其他	
Registered Address 註冊地址	
Business Address (if different with registered address) 商業地址(若與註冊地址有別)	
Telephone No. 電話號碼	Fax No. 傳真號碼
E-mail Address 電郵地址	Website 網址
CCASS Investor Participants ID and Name (if any) 中央結算投資者參與者編號及名稱 (如有)	
Designated Bank Account Details (for Fund Withdrawal) 指定銀行帳戶資料(適用提款)	
If the Client instructs United Securities Limited to arrange withdrawal of fund from the securities account, the money will be transferred to the below designated bank account in the name of the Client (unless instructed by the Client in writing to amend the designated bank account). 若客戶指示團結證券有限公司從證券帳戶提款，資金將會被安排轉入以下以客戶名義開設的指定銀行帳戶(除非客戶另以書面指示更改指定銀行帳戶):	
(a) Bank Name 銀行名稱 _____	
(b) Bank Account Holder's Name 銀行帳戶持有人名稱 _____	
(c) Bank Account Holder's Number 銀行帳戶持有人號碼 _____	
(d) Bank Account Type 銀行帳戶類別 <input type="checkbox"/> Saving 儲蓄 <input type="checkbox"/> Integrated Account 綜合理財帳戶 <input type="checkbox"/> Others 其他 _____	
<u>只適用於非在香港開設的銀行帳戶 Only applied for Bank Account not opened in Hong Kong</u>	
If the Client is unable to provide the below information on this Account Opening Form, the Client is still required to provide such information in the withdrawal instruction in the future. 若客戶現時無法在開戶表格上提供以下資料，客戶日後仍需在提款指示上提供有關資料。	
(e) Bank Account SWIFT Code 銀行國際電匯代碼 _____	
(f) Bank Address 銀行地址 _____	
Note: 1. We strongly advise the Client to provide a bank account opened in Hong Kong. Otherwise, the deposit and/or withdrawal instruction may not be executed successfully. If the Client provides a bank account opened outside of Hong Kong, the Client must understand and agree to bear such risks. 2. Bank account holder's name should be the same as the securities account holder's name. 3. United Securities Limited will process the deposit and/or withdrawal instruction within reasonable time upon receipt of the instruction from the Client. The Client agrees that United Securities Limited shall not be held liable for any loss suffered by the Client as a result of any delay in depositing such payments for any reason whatsoever.	
備註: 1. 我們強烈建議客戶提供在香港開設的銀行帳戶，否則，客戶的存款及/或提款指示有可能無法成功地被執行。如客戶提供一個非在香港開設的銀行帳戶，客戶必須完全明白並同意承擔有關風險。 2. 銀行帳戶名稱須與證券帳戶之名稱相同。 3. 團結證券有限公司在收到客戶的存款及/或提款指示後，於合理時間內盡快處理，客戶同意團結證券有限公司不會因延遲處理該款項令客戶蒙受損失而承擔任何法律責任。	

## Statement Delivery Method 結單發送方式

Combined Contract Note and Daily Statement(s) / Monthly Statement(s) will be delivered to 綜合成交日結單及月結單將會發送至: \*

E-mail address 電郵地址  Home Address 住宅地址  Business Address 商業地址

(\* Please select one only 只可選擇一個)

Note: Please be noted that United Securities Limited, in its sole discretion, reserves the rights to change the method of delivery that deemed appropriate at any time. 備註: 團結證券有限公司保留最終決定權, 隨時可以更改其認為合適的發送方式。

## Financial Profile 財務狀況

### (a) Share Capital 股本

Authorized Share Capital 法定股本: \_\_\_\_\_ shares of par value USD / HKD / Other (please specify) 股, 面值為美元 / 港元 / 其他貨幣 (請註明) \_\_\_\_\_ each share. 元之股份。

Issued Share Capital 已發行股本: \_\_\_\_\_ shares of par value USD / HKD / Other (please specify) 股, 面值為美元 / 港元 / 其他貨幣 (請註明) \_\_\_\_\_ each share, fully/partly paid. 元之已繳足 / 未繳足股份。

### (b) Annual net profit after tax (HKD) 每年稅後純利 (港元)\*

(i) HKD 港元\* \_\_\_\_\_ for fiscal year ending 財政年度 \_\_\_\_\_

(ii) HKD 港元\* \_\_\_\_\_ for fiscal year ending 財政年度 \_\_\_\_\_

(iii) HKD 港元\* \_\_\_\_\_ for fiscal year ending 財政年度 \_\_\_\_\_

(\* please specify if in foreign currency 若為外幣, 請註明)

(c) Total net worth (HKD) 客戶資產淨值 (港元): \_\_\_\_\_

(d) Does the Company own any property(ies) / real estate(s) 客戶是否擁有任何資產/物業?

Yes, address of property(ies) / real estate(s) 有, 資產/物業地址: \_\_\_\_\_  No 沒有

With financing 有借貸  Without financing 無借貸

## Investment & Risk Profile 投資及風險概況

For the person(s) responsible for making investment decisions on behalf of your company (hereinafter referred to as "You"), please "✓" on the appropriate boxes below to indicate your investment experience in each type of product. 就負責代表貴公司作出投資決定人士(下稱「閣下」), 請於下列每項產品"✓"選擇其投資經驗年期

### (a) Investment Products and Investment Experience 曾買賣產品及投資經驗 (Can choose more than one item 可選擇多於一項)

Shares 股票  0 or(或) < 1 year(年)  1-3 years(年)  4-5 years(年)  > 5 years(年)

Bonds 債券  0 or(或) < 1 year(年)  1-3 years(年)  4-5 years(年)  > 5 years(年)

Unit Trusts 單位信託基金  0 or(或) < 1 year(年)  1-3 years(年)  4-5 years(年)  > 5 years(年)

ETFs 交易所買賣基金  0 or(或) < 1 year(年)  1-3 years(年)  4-5 years(年)  > 5 years(年)

Derivative Products 衍生產品\*  0 or(或) < 1 year(年)  1-3 years(年)  4-5 years(年)  > 5 years(年)

Others 其他: \_\_\_\_\_  0 or(或) < 1 year(年)  1-3 years(年)  4-5 years(年)  > 5 years(年)

\* Derivative Products include but not limited to Derivative Warrants, Callable Bull /Bear Contracts, Stock Options, Index Options, Futures, Commodities and Structured Products. 衍生產品包括但不限於衍生權證、牛熊證、股票期權、指數期權、期貨、商品及結構性產品等。

### (b) Estimated investment amount (in HKD) 預計投資金額 (以港幣計)

Below 少於 \$100,000  \$100,000-\$500,000  \$500,001-\$1,000,000  \$1,000,001-\$5,000,000  Above 多於 \$5,000,000

### (c) Major Investment objective(s) 主要投資目標 (Can choose more than one item 可選擇多於一項)

Capital Preservation 保本  Income (e.g. Dividend) 收益 (例如股息)  Capital Gain 資本增值

Hedging 對沖  Speculation 投機  Others (Please specify) 其他 (請說明): \_\_\_\_\_

(d) Investment horizon 投資年期 (Can choose more than one item 可選擇多於一項)

Short Term 短線  Medium Term 中線  Long Term 長線

(e) Risk Tolerance 可承受風險水平

Low 低風險  Medium 中等風險  High 高風險

### Client's Knowledge of Derivative Products 客戶對衍生產品的認識

- You possess in-depth and substantial knowledge in the nature and risks of derivative products. 閣下對衍生產品之性質和風險有深入及豐富的認識。
- You have undergone training or attended courses on derivative products. 閣下曾接受有關衍生產品的培訓或修讀相關課程。
- You have current or previous work experience related to derivative products. 閣下現時或過去擁有與衍生產品有關的工作經驗。
- You have executed five or more transactions within the past three years in derivative products. 閣下於過去三年曾執行五次或以上有關衍生產品的交易。
- None of the above. 以上均不是。

Note: If the Client does not possess sufficient knowledge and experience in derivative products, but still intends to trade such products, the Client must read, understand and accept the risks of trading derivative products including, but not limited to, those stated in the Risk Disclosure Statements annexed in the Customer Agreement. 備註: 如客戶對衍生投資產品沒有足夠的知識及經驗, 但仍打算買賣衍生產品, 客戶必須確保已閱讀、明白及接受客戶協議書的風險披露聲明(但不限於聲明內所提及的)有關買賣衍生投資產品的風險。

### Authorized Persons 獲授權人士

#### D) General Operation of the Account 一般戶口操作

Any of the following persons namely is hereby authorized individually, without counter-signature or co-signature, to operate the account opened with USL on behalf of the Client and specifically but without limitation to the foregoing:

以下各人均獲個別授權, 可在無須副署或共同簽署之情況下代表客戶與團結證券接洽進行下述事項, 惟其權限不只限於進行此等事項:

- to buy, sell and otherwise deal in securities through USL;  
透過團結證券購入、出售或作有關股票、債券、證券及期權之交易;
- to receive on behalf of the Client demands, notices, confirmations, reports, statements of accounts and communications of every kind;  
代表客戶收取催款單通知、確認書、報告、戶口結單及各種形式的通訊文件;
- to receive on behalf of the Client money, securities of every kind, and to dispose of the same;  
代表客戶處置金錢及證券;
- to make on behalf of the Client any agreement relating to any of the foregoing matters and to terminate or modify the same or waive any of the provisions thereof; and 代表客戶訂立與上述任何事項有關之任何協議, 代表客戶將此等協議終止或修改, 或撤銷其中任何規定;及
- generally to deal with USL on behalf of the Client.  
代表客戶與團結證券進行一般接洽。
- No revocation of such authorization shall be effective until after USL has received written notice of such revocation.  
直至團結證券收到撤銷授權之書面通知為止, 上述授權無法撤銷。

Name 姓名	ID/Passport No. 身份證/護照號碼	Mobile Phone No. 電話號碼	Specimen signature 簽名樣式	Position 職位	Authority 權限
					<input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> All 全部
					<input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> All 全部
					<input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> All 全部

(Please continue in separate sheets if necessary) (如有需要, 請繼續於附加頁)

II) **Signing of Account Opening Document and Closure of Account** 簽署開戶文件及取消賬戶

Signing information 簽署資料

A total of \_\_\_\_ signatory(ies), any \_\_\_\_ signatory(ies) signing singly / jointly will be valid.

總共 \_\_\_\_ 位簽名人，任何 \_\_\_\_ 位簽名人單簽/同簽有效。

Specimen of your company chop or seal 客戶公司蓋章或印章式樣

**Director(s), Shareholder(s) & Ultimate Beneficial Owner(s) of the Account** 董事、股東及戶口最終權益擁有人

The Company hereby declares that 客戶茲聲明：

1. The particulars of all director(s) is / are (use separate sheet if necessary) 公司董事之資料(如空位不足，請另加紙填寫)

Name 姓名	ID/Passport No. 身份證/護照號碼	Nationality 國籍	Mobile Phone No. 電話號碼	Address 地址

2. The particulars of its shareholder(s) is / are (use separate sheet if necessary) 股東之資料(如空位不足，請另加紙填寫)

Name 姓名	ID/Passport No. 身份證/護照號碼	Nationality 國籍	Mobile Phone No. 電話號碼	Address 地址

3.  The ultimate beneficial owner(s) of the account is / are (use separate sheet if necessary)

以下人士為此戶口最終權益擁有人(如空位不足，請另加紙填寫)

Name 姓名	ID/Passport No. 身份證/護照號碼	Nationality 國籍	Mobile Phone No. 電話號碼	Address 地址

The Company is acting as a financial intermediary and the Company shall inform United Securities Limited or the Hong Kong Regulators of the ultimate beneficial owner(s) of the Account upon request.

客戶本身作為金融中介機構，而客戶須應要求通知團結證券有限公司或香港監管機構有關此戶口最終權益擁有人資料。

### Client's Tax Identity 客戶之稅務身分

This section applies to any authorized person, director, shareholders, the ultimate beneficial owner of your company 此部分適用於貴公司的任何獲授權人士、董事、股東、戶口最終受益擁有人

(a) Is any of the authorized person, director, shareholder, the ultimate beneficial owner of your company a United States Person ("U.S. Person") defined under the Foreign Account Tax Compliance Act ("FATCA") of the United States? 根據美國《海外帳戶稅務合規法案》定義，貴公司的任何獲授權人士、董事、股東、戶口最終受益人是否美國人？

Yes, TIN is 是，美國聯邦納稅人識別號碼為：\_\_\_\_\_

No 不是

(b) Have(Has) the authorized person, director, shareholder, the ultimate beneficial owner of your company possess the below particular?

貴公司的任何獲授權人士、董事、股東、戶口最終權益擁有人是否擁有以下特點？

U.S. place of birth 出生地為美國

U.S. mailing or residence address (including a U.S. post office box) 郵寄或住宅地址為美國 (包括美國郵政信箱)

U.S. telephone number 美國電話號碼

A designated bank account for fund withdrawal maintained in the United States 持有一個在美國開設的銀行帳戶

None of the above 以上全部不是

Note: 1. United Securities Limited's existing policy is not to open securities trading for U.S. person. 2. Please complete the relevant form under FATCA (e.g. W8BEN Form for Non-U.S. Person) and the Self-Certification Form for Tax Residency under Hong Kong Tax Ordinance and Common Reporting Standard (CRS) of OECD.

備註：1. 團結證券有限公司現時之政策是不會替美國人士開設證券帳戶。 2. 請填寫有關美國《海外帳戶稅務合規法案》之相關格 (例如適用於非美國人士的W8BEN)，並填寫一份根據《香港稅務條例》及《經濟合作與發展組織之共同匯報標準》的自我證明表格。

### Client's Identity Declarations and Disclosure 客戶身份聲明及披露

This section applies to any authorized person, director, shareholders, the ultimate beneficial owner of your company 此部分適用於貴公司的任何獲授權人士、董事、股東、戶口最終受益擁有人

Is the Company a licensed corporation /authorized institution registered with the Securities and Futures Commission of Hong Kong?

客戶是否證券及期貨事務監察委員會註冊的持牌法團/註冊機構？

Yes, CE No is 是，中央編號是 \_\_\_\_\_  No 否

Is any of the authorized person, director, shareholders, the ultimate beneficial owner of your company a client of United Securities Limited?

貴公司的任何獲授權人士、董事、股東、戶口最終權益擁有人是否團結證券有限公司的客户

Yes, please specify name 是，請說明姓名：\_\_\_\_\_  No 否

Is any of the authorized person, director, shareholders, the ultimate beneficial owner of your company a director or an employee of United Securities Limited or its group companies?

貴公司的任何獲授權人士、董事、股東、戶口最終權益擁有人是否團結證券有限公司或其同一集團之其他成員公司的董事或職員？

Yes, please specify name 是，請說明姓名：\_\_\_\_\_  No 否

Is any of the authorized person, director, shareholders, the ultimate beneficial owner of your company related to any director(s) or employee(s) of United Securities Limited or its group companies?

貴公司的任何獲授權人士、董事、股東、戶口最終權益擁有人是否與團結證券有限公司或其同一集團之其他成員公司的董事或職員有親屬關係？

Yes, names of the related person and staff 是，該人士及職員姓名：\_\_\_\_\_  No 否

Relationship 關係：\_\_\_\_\_

Is any of the authorized person, director, shareholders, the ultimate beneficial owner of your company, or his spouse, partner, child, parent, spouse or partner of his child, or a close associate with him entrusted or has been entrusted with a prominent public function, which includes a head of state, head of government, senior politician, senior government, judicial or military official, senior executive of a stated-owned corporation and an important political party official?

貴公司的任何獲授權人士、董事、股東、戶口最終權益擁有人，或其配偶、伴侶、子女或父母，或其子女的配偶或伴侶，或與其關係密切的人，是否擔任或曾擔任重要公職，包括國家元首、政府首長、資深從政者、高級政府、司法或軍事官員、國有企業高級行政人員及重要政黨幹事？

Yes, please specify 是，請說明：\_\_\_\_\_  No 否

**Disclosure of Group Related Accounts (for Margin Account applicants only)****關聯帳戶披露(只適用於保證金帳戶人)**

Is any margin client of United Securities Limited, either alone or with his spouse, in control of 35% or more of your voting right?

是否有任何團結證券有限公司的保證金客戶單獨或與其配偶共同控制客戶 35% 或以上的表決權？

Yes, name of the client 是，客戶名稱：  No 否

Account number: 戶口號碼：

Are you controlling 35% or more voting power of any margin client of United Securities Limited? 客戶是否控制任何團結證券有限公司的保證金客戶 35% 或以上表決權？

Yes, name of the company 是，該公司名稱：  No 否

Account number: 戶口號碼：

Is any member of your group of companies which has already opened a margin account with United Securities Limited?

是否有任何與客戶同一集團之成員已在團結證券有限公司開立保證金戶口？

Yes, name of the company 是，該公司名稱：  No 否

Account number 戶口號碼：

**BOARD MINUTES 董事會會議記錄**

Name of Client : (the "Company")

客戶名稱 : ("公司")

At a Meeting of the Directors of the Company duly convened and held at the address of :

\_\_\_\_\_ on the \_\_\_\_ day of \_\_\_\_\_,

at which a quorum was present and acting throughout, the following **Resolutions** were duly passed by the Board of Directors :

於 \_\_\_\_\_ 年 \_\_\_\_\_ 月 \_\_\_\_\_ 日，本公司董事局在地址：

\_\_\_\_\_ 召開董事局會議，期間具備會議所需的合法人數，並且正式通過以下決議案

1. That **a Cash Account / Margin Account (\*Please delete as appropriate)** (the "Account") be opened and maintained in the name of the Company with United Securities Limited ("USL") for the purpose of the Company engaging securities trading and related services of USL whether on cash or margin including but not limited to any purchases, sales, holdings and other dealings in securities as the Company may from time to time request and that the Account and all such purchases, sales, holdings and other dealings be effected and all such services by USL to the Company be provided subject to and in accordance with the provisions of Customer Agreement of USL, the Account Opening Form and such additional terms to be specified by USL and notified to the Company from time to time (collectively, the "Agreement"); and 決議通過以公司的名義，在團結證券有限公司（“團結證券”）開立及運作一個 **現金買賣帳戶 / 保證金買賣帳戶 (\*請刪去不適用者)**（“帳戶”），從而令公司得以使用團結證券無論是否以現金或保證金形式所提供的證券及有關服務，該等服務包括但不限於團結證券於收到公司不時的請求下代其執行的任何證券的買賣、持有及其他交易，而公司的帳戶及所有該等買賣、持有及其他交易及所有團結證券向公司提供的上述服務，須受以下文件約束：團結證券的客戶協議書、開戶表及其他由團結證券不時指明及向客戶知會的額外條款（統稱“協議”）；及

2. That the Agreement in such form as completed (including as to the identities of the persons authorized to serve as (the "Authorized Persons")) and produced to the Meeting be and are hereby approved and any Director of the Company or \_\_\_\_\_ be authorized to execute the Agreement for and on behalf of the Company (and, where necessary, to affix the common seal of the Company thereon) and the signed originals thereof be delivered to USL.

決議通過在本會議出示及填妥的協議（包括獲授權作為“獲授權人士”的人士的身份）及授權公司任何董事或授權 \_\_\_\_\_ 代表公司簽署該協議（並在有需要時加蓋公司的印章），而該協議的已簽署正本將須交予團結證券。

3. That the Authorized Persons be authorized to give oral and/or written instruction(s) to USL in relation to the operation of the accounts(s) maintained by the Company with USL in the manner as set out in the Agreement and that any instruction(s) given by the Authorized Person(s) be absolutely binding on the Company. USL shall have no obligation whatsoever to inquire about or confirm the authority of the Authorized Person(s) on behalf of the Company. The Company accepts full responsibility for all oral and/or written instruction(s) given by



the Authorized Person(s) on behalf of the Company.

決議通過“獲授權人士”就公司在團結證券所開立之帳戶之運作，根據協議所述之方式向團結證券發出口頭及/或書面指示，該等獲授權人士作出的任何指示對公司具有絕對的約束力，團結證券無需查詢或該實獲授權人士發出任何指示所依據的權力，公司須對該等獲授權人士發出的全部口頭及/或書面指示負責。

I/We, the undersigned, DO HEREBY CERTIFY that the foregoing is a true copy of the Resolutions which (a) were duly passed in accordance with the Memorandum and Articles of Association (or other constitutional documents) of the Company, (b) have been duly recorded in the minutes book of the Company, and (c) remain in full force and effect, no action having been taken to rescind or amend the said Resolutions. 本人/我司簽署人現證明前述為：(a) 依照公司的章程大綱及細則（或其他憲章性文件）妥善地通過的決議案的真實副本；(b) 已妥善地記錄在公司的會議記錄冊之上；及(c) 繼續全面生效及並沒有採取任何行動以撤銷或修訂該等決議案。

Name of Chairman of the Meeting:

會議主席姓名：

Signature :

簽署：

### Client's Declaration and Signature 客戶聲明和簽署

I/We, representing the Company (“the Client”) and as the authorized person of the Company, declare that the information on this Account Opening Form (“this Form”) is true, complete and correct and that I/we have read the attached Customer Agreement (“Agreement”) Agreement of United Securities Limited carefully before signing this Form. Information on this Form and representations in the Agreement are collectively referred as the “Account Opening Information”. United Securities Limited is entitled to rely fully on such Account Opening Information for all purposes, unless United Securities Limited receives notice in writing of any changes. United Securities Limited is authorized at any time to contact anyone, including but without limitation to my/our banks, brokers or any credit agency for purpose of verifying the Account Opening Information. All transactions to be concluded with or through United Securities Limited shall be subject to the terms and conditions of the Agreement. 本人/吾等乃代表本公司(下述「客戶」)及為本公司的獲授權人士茲聲明在本開戶表格內(下稱「本表格」)的資料屬實、完整及正確，及本人/吾等於簽署本表格前已細閱團結證券有限公司附上之《客戶協議書》(「協議書」)。本表格內的資料及協議書內的申述，以下統稱「開戶資料」，除非團結證券有限公司收到更改有關「開戶資料」內容的書面通知，否則，團結證券有限公司有權在任何用途上完全依賴這些「開戶資料」。團結證券有限公司有權隨時聯絡任何人，包括但不限於客戶之銀行、經紀或任何信貸調查機構，以求証實「開戶資料」內所載之內容。所有由客戶透過團結證券有限公司進行之交易，均受協議書內之條文及條款限制。

By signing below, I/we confirm that 本人/吾等在以下簽署，乃確認:-

1. I/We have read, understood and accepted the Agreement, in particular the section entitled “Risk Disclosure Statements”, and shall be bound by the terms and conditions of Agreement, as it may be amended from time to time. United Securities Limited have absolute rights to change, amend, delete or substitute any of the terms and conditions in or add new terms and conditions to the Agreement, as updated from time to time. 本人/吾等已細閱、明白及同意協議書，特別是《客戶風險披露聲明》的一節，並接受該等不時經修改的協議書之條文及條款約束。團結證券有限公司有絕對酌情權不時修訂、更改、刪減或取代協議書之任何條文及條款或加入新的條文及條款。
2. I/We acknowledge that I/we have received a copy of the Agreement and hereby confirm that United Securities Limited has provided the Risk Disclosure Statements annexed in the Agreement in English and/or Chinese and I/we have been invited to read the Risk Disclosure Statements, to ask questions and take independent advice (if I/we wish). I/We also understand that the Risk Disclosure Statements does not purport to disclose or explain all the risks and other important aspects of trading in the investment product(s) concerned, and I/we should not engage in the trading of the investment product(s), unless I/we understand the nature of the transaction(s) into which I/we am(are) entering and the extent of the exposure of the client to risks. I/We should carefully consider whether trading in the relevant investment product(s) is(are) appropriate for the client in light of the investment experiences, objectives, risk appetite, financial resources of the client and other relevant factors, and I/we should seek independent and professional advice from financial, legal, tax as well as other advisors, if in doubt. 本人/吾等謹此聲明本人/吾等已收妥協議書及確認團結證券有限公司已提供中文及/或英文版本附於協議書內的《風險披露聲明》，及本人/吾等已獲邀閱讀該等聲明、提出問題及徵求獨立的意見(如本人/吾等有此意願)。本人/吾等明白該《風險披露聲明》並不能披露或解釋有關的投資產品交易的所有風險和其他重要事項。除非本人/吾等了解有關交易的性質以及客戶所能承受風險的程度，本人/吾等不應該參與有關投資產品的交易。本人/吾等應根據客戶的投資經驗、目標、風險承受能力、財政資源和其他因素仔細考慮相關投資產品的交易是否適合客戶。如本人/吾等有疑問，本人/吾等應向財務、法律、稅務和其他顧問尋求獨立和專業的意見。

3. I/We have read, understood and accepted to the terms and conditions and related risks if I/we choose electronic trading and/or e-mail delivery of the Combined Contract Note and Daily Statement/Monthly Statement (“the Statement”). These include, but not limited to, the followings: a. After selecting e-mail address as delivery method of the Statement, I/we will not receive the Statement by post. b. Once the Statement is issued by United Securities Limited, the Statement will be deemed accepted by me/us. c. The email address I/we provided may be used for receiving other notifications, apart from the Statement. d. If I/we do not receive the Statement by e-mail, or it is failed to open the statement, or my/our email address has been changed, or my/our email address is no longer valid, I/we will notify United Securities Limited immediately. e. There is an unpredictable communication blocking problem when transmitting information (including delivery of the Statement by e-mail) through the Internet, which is an unreliable communication medium. I/We acknowledge and understand that I/we will bear all the relevant risks including any loss, such as information leakage, interruption, delay or loss in the transmission of the information and/or the failure of equipment in transmission, and that United Securities Limited will not be responsible for such risks or liable for such loss. 若本人/吾等選用電子交易及/或電子郵件收取綜合成交日結單及月結單（下稱「結單」）時，本人/吾等已細閱、明白及同意有關的條款及條文和相關風險，這包括(但不限於)以下各點：a. 本人/吾等選用電子郵件收取結單後，本人/吾等將不會收到以郵件寄發之結單。 b. 當結單由團結證券有限公司通過電子郵件至本人/吾等的電郵地址後，結單將被視為本人/吾等已收取。 c. 本人/吾等所提供的電郵地址除用作收取結單外，亦可能用作收取其他通知書。 d. 假若本人/吾等沒有收到結單、或未能打開結單、或本人/吾等更改了電郵地址、或本人/吾等的電郵地址不再有效，本人/吾等將會立刻通知團結證券有限公司。 e. 本人/吾等亦同意透過互聯網在傳遞信息(包括以電子郵件發送結單)時，存在不可預料之通訊阻塞問題，屬於不可靠之通訊媒介。本人/吾等知悉及明白，任何互聯網或電子郵件中的信息洩漏、中斷、延誤或錯失、及/或因傳送設備失靈而所引致的失敗，本人/吾等會承擔全部相關風險(包括損失)，團結證券有限公司將不會為該等風險負責或為該等損失承擔法律責任。
4. I/We acknowledge and agree that the information contained in this Form and Self-Certification Form are collected and may be kept by United Securities Limited for the purpose of automatic exchange of financial account information, and such information may be reported by United Securities Limited to the Inland Revenue Department of Hong Kong S.A.R. and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the client may be the subject for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112) in Hong Kong. I/we undertake to advise United Securities Limited of any changes in circumstances which affects the tax status of the client or causes the information contained herein to become incorrect, and to provide United Securities Limited with updated Self-Certification Form(s) within one month of such change in circumstances. 本人/吾等知悉及同意團結證券有限公司可根據香港《稅務條例》(第112章)有關交換財務帳戶資料的法律條文，收集本表格及自我證明表格所載資料，並可備存作自動交換財務帳戶資料用途，及把該等資料和關於客戶及任何須申報帳戶的資料，向香港特別行政區稅務局申報，從而把資料轉交到客戶的司法管轄區的稅務當局。本人/吾等承諾，如情況有所改變，以致影響本表格所述的稅務居民身分，或引致有關表格所載的資料不正確，本人/吾等會通知團結證券有限公司，並會在情況發生改變後的一個月內，向團結證券有限公司重新提交已適當更新的自我證明表格。
5. I/We have read the “Personal Data Protection” Clause as contained in the Customer Agreement, understood and agree to them.  
本人/吾等已閱讀及同意給予客戶載於客戶協議書內之「個人資料保障」一段。
- I/We object to the use of our personal data for direct marketing as specified in “Personal Data Protection” Clause in the Customer Agreement and/or transfer of my/our personal data to United Securities Limited and/or any holding company, subsidiary or affiliate of United Securities Limited for direct marketing.
- 本人/吾等反對本人/吾等的個人資料被用作客戶協議書內之「個人資料保障」一段所指的服務或產品的直接促銷，及/或轉移至團結證券有限公司及/或其控股公司、子公司或聯營公司用作相關直接促銷。
- If I/We do not tick 「✓」 the box but sign this Account Opening Form, I am / we are deemed to have no objection to the use and/or transfer of my / our personal data to United Securities Limited and/or any holding company, subsidiary or affiliate of United Securities Limited for direct marketing. I/We may opt-out from receiving any marketing information or materials at any time should I/We wish to withdraw your consent.  
如本人/吾等未有在空格上加「✓」，但在開戶表格上簽署，將表示本人/吾等不反對本人/吾等的個人資料可被用作相關直接促銷，或轉移至團結證券有限公司及/或其控股公司、子公司或聯營公司用作相關直接促銷，然而本人/吾等可隨時撤銷該同意，以停止接收任何推廣資料或物品。
6. I/We have read, understood and accepted United Securities Limited’s policy for securities trading of U.S. person and I/we represent and warrant that the client, any of the authorized person, director, shareholders, the ultimate beneficial owner of the company am/are not a “U.S. person” as interpreted in accordance with the U.S. Internal Revenue Code. I/We agree that I/we will notify United Securities Limited immediately if the client, any of the authorized person, director, shareholders, the ultimate beneficial owner of the company become a U.S. person. 本人/吾等已細閱、明白及同意團結證券有限公司之美國人士股票交易政策，本人/吾等聲明及保證客戶，公司的任何獲授權人士、董事、股東、戶口最終權益擁有人並非美國國內稅法詮釋的“美國人士”，並同意如果客戶，公司的任何獲授權人士、董事、股東、戶口最終權益擁有人成為美國人士，本人/吾等將立即通知貴公司。
7. I/We have read, understood and accepted that in the circumstances under which dividend of the stock of the client is declared with the election on cash

dividend/script dividend and/or the relevant currency(ies), I/we can elect the form of dividend which the Client preferred by notifying United Securities Limited. However, if I/we do not notify United Securities Limited of my/our election, I/we hereby confirm and agree that United Securities Limited will process the dividend according to the default election by CCASS. 本人/吾等已細閱、明白及同意客戶所持有的股票若提供現金股息或票據股息及/或相關貨幣之選擇時，本人/吾等會通知並指示團結證券有限公司客戶希望收取股息的方式。若本人/吾等沒有通知團結證券有限公司客戶之選擇時，本人/吾等確認及同意團結證券有限公司會按照中央結算的默認選擇作安排。

8. I/We understand and agree that United Securities Limited has the right to request for such documents and information of me/us, the ultimate beneficial owner(s) of the Account(s) and/or the person(s) ultimately responsible for originating instructions of the Account(s) from time to time, as it deems appropriate for the purpose of complying with any legal and regulatory requirements (including but not limited to the prevention of money laundering, terrorist-financing and the provision of financial and/or other services to persons or entities which may be subject to sanctions) and conducting client identification, verification and due diligence. United Securities Limited has the sole discretion to refuse to open, suspense or terminate the Account(s) and/or reject any instructions, without giving prior notice to or obtaining consent from you, if sufficient and proper documents or information cannot be provided or the client identification, verification or due diligence process cannot be completed or for any reasons which deemed appropriate. Such reasons include, but are not limited to, the followings: Under a reasonable period of time, the Account(s) has(have) no records of normal funds in and out; the Account(s) has(have) unusual and unreasonable trading activities and/or fund movements; the Account(s) does(do) not maintain a reasonable level of assets or transactions; and/or I/we cannot meet any other requirements of United Securities Limited as amended from time to time. United Securities Limited has the sole discretion to interpret what is meant by "reasonable". 本人/吾等明白及同意，團結證券有限公司以其認為適當的原則下，而毋須事先通知或獲得本人/吾等的同意，有權不時要求本人/吾等、最終受益人及/或最初負責發出帳戶買賣指示之人士，提供任何有關的文件和資料，以符合法律及監管的要求（包括但不限於防止清洗黑錢、恐怖分子資金籌集、及提供財務及/或其他服務予可能受制裁之人士或實體），及進行客戶身份辨別、驗證和盡職調查程序。如果本人/吾等未能充份地及適當地提供團結證券有限公司所要求的文件和資料、或因本人/吾等未能配合團結證券有限公司而完成客戶身份辨別、驗證或盡職調查、或因其他任何合理的原因，團結證券有限公司保留絕對權力以拒絕開立、暫停或終止帳戶及/或拒絕任何指示。該等原因包括但不限於以下：在合理時間內，帳戶沒有正常的資金進出紀錄、帳戶有不尋常及不合理的交易活動及/或資金掉動、帳戶沒有維持合理水平的資產或交易活動、及/或本人/吾等不能符合團結證券有限公司任何經不時修定的要求。團結證券有限公司有絕對決定權以詮釋何謂“合理”。
9. I/We understand and agree to the Client Money Standing Authority ("this Authority"), if applicable, referred to the hereunder and pursuant to the Securities and Futures (Client Money) Rules, which covers money held or received by United Securities Limited in Hong Kong in one or more segregated account(s) on my/our behalf ("Monies"). I/We authorize United Securities Limited to combine or consolidate any or all segregated accounts of any name whatsoever and either individually or jointly with others, maintained by United Securities Limited from time to time and United Securities Limited may transfer any sum of monies to and between such segregated account(s) to satisfy my/our obligations or liabilities to any member of the United Securities Limited, whether such obligations and liabilities are actual or contingent, primary or collateral, secured or unsecured, or joint or several; and transfer any sum of monies interchangeably between any of the segregated accounts maintained at any time by United Securities Limited. United Securities Limited may do any of these things without giving me/us notice. This Authority is given to United Securities Limited in consideration of its agreeing to continue to maintain securities cash account(s) and/or securities margin account(s), if applicable, for me/us, and it is given without prejudice to other authorities or rights which United Securities Limited may have in relation to dealing in monies in the segregated accounts. This Authority is valid for a period of 12 months from the date of signing this document. This Authority may be revoked by giving United Securities Limited written notice. I/We understand and agree that this Authority shall be deemed to be renewed for subsequent periods of 12 months without my/our written consent if United Securities Limited issues me/us a written reminder at least 14 days prior to the expiry date of this Authority, and I/we do not object to such deemed renewal before such expiry date. I/We understand that I/we may revoke this authority by giving United Securities Limited at least 5 business days' prior written notice. However, United Securities Limited may, in its sole discretion, treat the revocation notice as having immediate effect upon United Securities Limited receipt. Upon the renewal of this Authority, United Securities Limited shall send me/us a written confirmation of the renewal of this Authority within one week after the date of expiry. 本人/吾等明白並同意根據《證券及期貨（客戶款項）規則》而在此訂立的客戶款項常設授權（下稱「本授權」）的內容（如適用），本授權涵蓋團結證券有限公司代表本人/吾等持有或收取並存放於一個或多個獨立帳戶內的款項（下稱「款項」）。本人/吾等授權團結證券有限公司組合或合併團結證券有限公司所維持的任何或全部獨立帳戶，不論是個別地或與其他帳戶聯合地進行，團結證券有限公司可將該等獨立帳戶內任何數額之款項作出轉移，以符合本人/吾等對團結證券有限公司確實、或然、原有、附帶、有抵押、無抵押、共同或分別的義務或法律責任；及從團結證券有限公司於任何時候維持的任何獨立帳戶之間來回調動任何數額之款項。團結證券有限公司可不向本人/吾等發出通知而採取上述行動。本授權乃鑑於團結證券有限公司同意繼續本人/吾等的證券現金帳戶及/或證券保證金帳戶（如適用），及並不損害團結證券有限公司可享有有關處理該等獨立帳戶內款項的其他授權或權利。本授權由本文件簽署日期的 12 個月內有效。客戶可以向團結證券有限公司發出書面通知，以撤回本授權。本人/吾等明白及同意若團結證券有限公司在本授權的有效期限 14 日前發出書面通知，以提醒本授權即將屆滿，而本人/吾等沒有在本授權屆滿前反對此授權續期，本授權會當作已被續期，每次續期期間為 12 個月。本人/吾等明白，本人/吾等可給予團結證券有限公司至少 5 個工作天的預先書面通知，撤銷此授權。然而，團結證券有限公司有絕對酌情決定權將撤銷授權的書面通知，視為於團結證券有限公司收訖時隨即生效。在本授權續期後，團結證券有限公司在本授權的有效期限屆滿後一星期內，向本人/吾等發出書面確認有關本授權的續期。

10. **For margin account only (if applicable) 僅限保證金賬戶（如適用）**

I/We understand and agree to the Client Securities Standing Authority (“this Authority”), if applicable, referred to the hereunder and pursuant to the Securities and Futures Ordinance and the Securities and Futures (Client Securities) Rules. I/We hereby authorize United Securities Limited to deal with my/our securities or securities collateral from time to time received or held in Hong Kong on behalf of me/us in one or more of the following ways without further notice to or consent from me/us: (1) apply any of my/our securities or securities collateral pursuant to a securities borrowing and lending agreement; (2) repledge my/our securities collateral with an authorized financial institution as collateral for financial accommodation provided to United Securities Limited; (3) deposit any of my/our securities collateral with (i) a recognized clearing house; or (ii) another intermediary licensed or registered for dealing in securities, as collateral for the discharge and satisfaction of United Securities Limited’s settlement obligations and liabilities; or (4) treat and deal with any of my/our securities and securities collateral in such manner as United Securities Limited considers appropriate taking into account any applicable legal and regulatory requirements from time to time. United Securities Limited is accountable to me/us for the return of equivalent securities deposited under this Authority after full repayment by me/us of all my/our outstanding loans under the facility. The Client understand that a third party may have a lien or charge on my/our securities or securities collateral, which United Securities Limited must satisfy before my/our securities or securities collateral can be returned to me/us. The Client are aware that there are risks as I/we hereby provide United Securities Limited with an authority that allows United Securities Limited to apply my/our securities or securities collateral pursuant to a borrowing and lending agreement of securities, repledge my/our securities collateral for financial accommodation or deposit my/our securities collateral as collateral for the discharge and satisfaction of United Securities Limited’s settlement obligations and liabilities. I/We hereby acknowledge, and confirm having been informed by United Securities Limited, that United Securities Limited has the practice of repledging securities collateral. This Authority is valid for a period of not more than 12 months from the date of signing this document. This Authority may be revoked by giving United Securities Limited written notice. I/We understand and agree that this Authority shall be deemed to be renewed for subsequent periods of 12 months without my/our written consent if United Securities Limited issues me/us a written reminder at least 14 days prior to the expiry date of this Authority, and I/we do not object to such deemed renewal before such expiry date. I/We understand that I/we may revoke this authority by giving United Securities Limited at least 5 business days’ prior written notice. However, United Securities Limited may, in its sole discretion, treat the revocation notice as having immediate effect upon United Securities Limited receipt. Upon the renewal of this Authority, United Securities Limited shall send me/us a written confirmation of the renewal of this Authority within one week after the date of expiry. 本人/吾等明白並同意根據《證券及期貨條例》及《證券及期貨（客戶證券）規則》而在此訂立的客戶證券常設授權（下稱「本授權」）的內容（如適用）本人/吾等特此授權團結證券有限公司以下列一種或多於一種方式，處理不時由團結證券有限公司代本人/吾等在香港收取或持有的證券及證券抵押品，毋須進一步通知本人/吾等或取得本人/吾等的同意：(1)依據證券借貸協議運用任何有關本人/吾等的任何證券或證券抵押品；(2)將本人/吾等的任何證券抵押品再質押於認可的財務機構，作為提供予團結證券有限公司的財務通融的抵押品；(3)將本人/吾等的任何證券抵押品存放於(i)認可的結算所；或(ii)另一獲發牌或獲註冊進行證券交易的中介人，作為解除團結證券有限公司在交收上的義務和清償團結證券有限公司在交收上的法律責任的抵押品；或(4)按照團結證券有限公司經考慮不時的適用法律及規管規定而認為適當的方式，處理及處置本人/吾等的任何證券或證券抵押品。當本人/吾等全數償還信貸安排下的所有未清償的貸款後，團結證券有限公司須負責向本人/吾等歸還在此授權下存放的相等證券。本人/吾等明白，本人/吾等的證券或證券抵押品可能受制於第三者之留置權或押記，團結證券有限公司必須先行了結有關留置權或押記，方可將本人/吾等的證券或證券抵押品歸還本人/吾等。本人/吾等授權團結證券有限公司依據證券借貸協議運用本人/吾等的任何證券或證券抵押品、或准許團結證券有限公司再質押本人/吾等的證券抵押品以取得財務通融、或准許團結證券有限公司存放本人/吾等的證券抵押品作為履行團結證券有限公司交收義務與責任的抵押品，本人/吾等知悉如此授權是帶有風險的。本人/吾等獲悉及確認團結證券有限公司有將證券抵押品再質押的做法。本授權由本文件簽署日期的 12 個月內有效。客戶可以向團結證券有限公司發出書面通知，以撤回本授權。本人/吾等明白及同意若團結證券有限公司在本授權的有效期屆 14 日前發出書面通知以提醒本授權即將屆滿，而本人/吾等沒有在本授權屆滿前反對此授權續期，本授權會當作已被續期，每次續期期間為 12 個月。本人/吾等明白，本人/吾等可給予團結證券有限公司至少 5 個工作天的預先書面通知，撤銷此授權。然而，團結證券有限公司有絕對的情決定權將撤銷授權的書面通知，視為於團結證券有限公司收訖時隨即生效。在本授權續期後，團結證券有限公司在本授權的有效期屆滿後一星期內，向本人/吾等發出書面確認有關本授權的續期。

## Client's Declaration and Signature (Continued.) 客戶聲明和簽署 (續頁)

The natural person signing this Client Agreement at the place provided for signature of the Client as well as all persons have (if any) signing this Client Agreement purportedly on behalf of the Client jointly and severally warrant that such signatory(ies) have/has full authority to enter into this Client Agreement on behalf of the Client and that this Client Agreement shall bind the Client as a corporation in all respects and undertakes to indemnify and hold harmless USL against all costs, losses and damages arising out of or in connection with this Client Agreement in the event of any breach of this warranty.

在本客戶協議書簽署一欄簽署之自然人，與及聲稱代表客戶簽署本客戶協議書之所有人士(如有的話)全體及個別作出保證:此處之一名或多名簽署人均有全權代表客戶簽訂此客戶協議書，而此客戶協議書對客戶作為一個法團在各方面均具約束力;如有任何因違反此保證而引起與本客戶協議書有關之一切損失，此處之一名或多名簽署人均會對團結證券作出賠償並免其受到傷害。

Authorized Signatory with company chop 獲授權人士簽署並蓋章                      Date 簽署日期

1

2

3

## Witness Signature 見證人簽署

This Account Opening Form should be signed in front of a licensed representative of United Securities Limited, or where the Account Opening Form are not executed in the presence of the licensed representative, the Account Opening Form must be signed and witnessed by a Justice of Peace, Branch Manager of a bank, Lawyer, Certified public accountant or notary public. 此開戶表格應該在團結證券有限公司的持牌代表前簽署及見證，倘若在開戶時持牌代表並不在現場進行見證，則本開戶表格必須由太平紳士，銀行分行經理，律師，執業會計師或公證人見證下簽定。

If the Account Opening Form are **not signed and witnessed in front of the above specified person**, please submitted with a crossed cheque bearing the Client's name shown in the Client's identity document and drawn on the Client's same name account with a licensed bank in Hong Kong with the Client's same signature(s) as shown in this Form in favor of "United Securities Limited" for not less than HK\$10,000.00 (or such other amount as may be advised by United Securities Limited). The Client's approved new account will not be activated until the cheque is cleared. 若客戶並非在上述人士見證下簽立此開戶表格，請連同客戶在香港的持牌銀行開立的帳戶並由客戶所簽發，而該簽名須與此開戶表格上的客戶簽名相符，並載有客戶在其身份證明文件上所顯示的姓名的劃線支票，而該支票抬頭人須為“團結證券有限公司”及其數額不得少於壹萬港元(或團結證券有限公司通知客戶的其他數額)，一併遞交。客戶被批核的新帳戶必須待支票兌現後才可使用。

\_\_\_\_\_  
Witness Signature 見證人簽署

\_\_\_\_\_  
Witness Name 見證人姓名

\_\_\_\_\_  
ID Card/Passport/CE No.  
身份證/護照/CE 編號

\_\_\_\_\_  
Occupation of Witness  
見證人職務

\_\_\_\_\_  
Date 日期

**Declaration by Licensed Staff (For Official Use Only)****持牌職員聲明(只供本行使用)**

I, the representative of United Securities Limited, hereby declare and confirm that I have provided the Risk Disclosure Statements annexed hereto this Form in a language (English or Chinese) at the choice of the Client(s), and invited the Client(s) to read the Risk Disclosure Statements, ask questions and take independent professional advice, if the Client(s) wishes(wish).

本人為團結證券有限公司的註冊職員，並謹此聲明及確認本人已按照客戶所選擇的語言(英文或中文)提供附於本表格的風險披露聲明，及邀請客戶閱讀該風險披露聲明、提出問題及徵求獨立的專業意見(如客戶有此意願)。

\_\_\_\_\_  
Signature of Licensed Staff

持牌職員簽署

\_\_\_\_\_  
Name of Licensed Staff

持牌職員名稱

\_\_\_\_\_  
CE No.

中央編號

\_\_\_\_\_  
Date

日期

**Supplementary Information (For Official Use Only)****附加資料 (只供本行使用)****Internal Review Record (For Official Use Only)****內部審核記錄 (只供本行使用)**

Handled By (Name/Signature/Date):

Approved By (Name/Signature/Date):

Endorsed By (Name/Signature/Date):

Input By (Name/Signature/Date):

Data Checked By (Name/Signature/Date):

Derivative Products:  Yes /  No

Commission Rate:           % (Min. HK\$           )

Debit Interest Rate:           %

Credit Limit (if applicable):

Maximum Exposure (if applicable):

Remarks: